

TONG & ASSOCIATES
PATENTS, TRADEMARKS & COPYRIGHTS

5850 Canoga Avenue, Suite 400
Woodland Hills, CA 91367
Tel: (818) 710-2757
Fax: (818) 710-2758

Email: info@tongandassociates.com
www.my-patent-lawyer.com

May 14, 2004

Assistant Commissioner for Patents
Washington DC, 20231

In re application of: Philip Hollins
Serial Number: 10/763998
Filed: January 22, 2004
Title: Shade Device
Attorney docket number: 203015.001 Hollins
Art unit: 3635

Dear Sir:

The following are submitted to the Patent and Trademark Office for appropriate action:

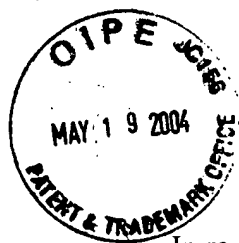
1. Preliminary Agreement, 2 pages
2. A return receipt postcard

Cordially,

Rolando J. Tong
Registration number 47,140

The US Patent and Trademark Office acknowledges
the receipt of the following regarding the application
of Phillip Hollins:

1. Preliminary Agreement, 2 pages
2. A return receipt postcard



[Handwritten signature]

IN THE UNITED STATES PATENT TRADEMARK OFFICE

In re application of: Phillip Hollins

Serial number: 10/763,998

5 Filed: January 22, 2004

Title: Shade Device

Attorney docket number: 203015.001 Hollins

Art unit: 3635

10 Examiner:

Assistant Commissioner for Patents

Washington, D.C. 20231

15

PRELIMINARY AMENDMENT

The applicant respectfully requests the following claims to be added to the application before examination on the merits.

47. A shade device comprising:

- 20 (A) a covering, the covering being configured to provide an amount of shade to a user;
- (B) a shaft, the shaft being configured to support the covering;
- (C) a covering adjustment mechanism connected in between the covering and the shaft, the covering adjustment mechanism being configured to allow the covering
- 25 to be moved to a plurality of positions;
- (D) a controller in communication with the covering adjustment mechanism, the controller being configured to be operated by the user to cause a change in the

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:



MAILING

Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



FACSIMILE

Transmitted by facsimile to the Patent and Trademark Office.

Fax No.: _____

Signature

[Handwritten signature]
Name ROLANDO TONG

Date

5/14/04

position of the covering thereby providing the user the ability to control the amount of shade being provided by the covering.

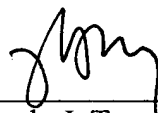
48. The shade device of claim 47, wherein the controller is detached from the shade device.

49. The shade device of claim 47, wherein the covering adjustment mechanism comprises a motor and a swivel, the motor being in communication with the controller and the swivel, the motor being configured to move the swivel, the swivel being connected to the covering, wherein the user may adjust the position of the covering by using the controller to operate the motor and move the swivel.

50. The shade device of claim 47, wherein the shaft defines a vertical axis, the shade device further comprising a swivel connected in between the shaft and the covering, the swivel being configured to move the covering at a plurality of angles from the vertical axis, the swivel being controllable by the controller, wherein the user may operate the controller to control the swivel and adjust the position of the covering.

If the examiner has any questions regarding the application or this preliminary amendment, the examiner is encouraged to call the applicant's attorney, Rolando J. Tong, at (818) 710-2757.

Respectfully submitted,



Rolando J. Tong, Attorney for Applicant
Registration Number: 47,140